

REVIEW ARTICLE

**PROOF OF VALUE OF ANTERIOR GLENOHUMERAL INSTABILITY TECHNIQUES**

**DOWÓD WARTOŚCI TECHNIK NAPRAWCZYCH W NIESTABILNOŚCI PRZEDNIEJ STAWU RAMIENNEGO**

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ABSTRACT


Arthroscopic Bankart and Coracoid Transfer are the most common procedures. Results of Bankart technique differ depending on the surgeon's experience (type of anchors used, qualification, long learning curve). Performing Arthroscopic Bankart may be effective when there is minimal bone loss (< 10–15%), good soft tissue (no ALPSA) and ISIS < 3. With these assumptions long term recurrence rate (RR) can be stated as 8%. Adding of remplissage improves RR to 3–6%, which is comparable to Latarjet with RR 4.4–6%. Coracoid transfer has advantage of being quicker, safer and cheaper. It must be underlined that this type of surgery is effective for patients with risk factors (probably regardless risk). Moreover, we assume that good function and satisfaction level with high return to sport (85%) is associated with this intervention. Still some issues remain like frequent complications (mostly temporary) like apprehension or residual pain. Learning curve is also not in favor of this technique. Yet it is difficult to conclude whether Arthroscopic Bankart or Coracoid Transfer are superior. Free bone block has comparable results to Latarjet, with the main problem being donor side complication in autografts. Open Bankart also do not stand out of Coracoid Transfer.

**Keywords:** Latarjet, Free Bone Block, Bankart Repair, Coracoid Transfer, Arthroscopic Bankart Repair

STRESZCZENIE

Artroskopia Bankart i transfer wyrostka kruczego są najczęściej wykonywanymi zabiegami. Wyniki techniki Bankarta różnią się w zależności od doświadczenia chirurga (rodzaj stosowanych kotwic, kwalifikacja, długa krzywa uczenia się). Wykonanie artroskopowego Bankarta może być skuteczne w przypadku minimalnego ubytku kości (< 10–15%), dobrej tkanki miękkiej (brak ALPSA) i ISIS < 3. Przy tych założeniach długoterminowy odsetek nawrotów (RR) można określić na 8%. Dodanie remplissage poprawia RR do 3–6%, co jest porównywalne z Latarjet z RR 4.4–6%. Zaletą transferu wyrostka kruczego jest to, że jest on szybszy, bezpieczniejszy i tańszy. Należy podkreślić, że ten rodzaj operacji jest skuteczny u chorych z czynnikami ryzyka (prawdopodobnie niezależnie od ryzyka). Ponadto z tą interwencją wiąże się dobra funkcja i poziom satysfakcji z wysokim powrotem do sportu (85%). Nadal jednak istnieją pewne kwestie, takie jak częste powikłania (głównie przejściowe), lęk czy ból rezydualny. Krzywa uczenia się również nie jest korzystna dla tej techniki. Trudno jest jednak stwierdzić,

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Authors reported no source of funding  
Authors declared no conflict of interest

Date received: 1st February 2023  
Date accepted: 15th February 2023

czy lepsza jest artroskopia Bankart czy transfer wyrostka kruczego. Wolny blok kostny daje porównywalne wyniki jak Latarjet, przy czym głównym problemem są powikłania po stronie dawcy w przypadku autoprzeszczepów. Otwarty Bankart również nie wyróżnia się w porównaniu do transferu wyrostka kruczego.

**Słowa kluczowe:** Latarjet, wolny blok kostny, opracja Bankarta, transfer wyrostka kruczego, Bankart metodą artroskopową

## Introduction

Since the inception of surgical treatment of anterior shoulder instability, several techniques have been developed. Some of them did not stand the test of time. However, several have been the turning point in the surgical treatment of instability. That includes labral repair (commonly called the Bankart technique) and coracoid transfer (known mostly as Latarjet and/or Bristow). Glenoid reconstruction with bone block came more recently. Another turning point was the introduction of an arthroscopic approach to shoulder instability. Since that turning point, multiple modifications to either of the aforementioned procedures have been introduced. Each one makes the technique more effective in the opinion of surgeons. Yet it was not only technique and new modifications but also an understanding of pathology that came with the rising experience and scientific data. Multiple risk factors of failure have been identified: including age, sex, sports participation, osseous defects and their interplay, chronicity, etc. Thus, some have started matching particular techniques to the clinical situation.

Surgical treatment of shoulder surgery is very common. Classic open Bankart repair has been widely considered to gold standard procedure (Neviaser *et al.* 2017). However, some concerns have been raised regarding the iatrogenic impact on subscapularis, persistent limitation of external rotation or in general more traumatic approach. Arthroscopic Bankart was aimed as much less traumatic and became probably the most common procedure globally. Reported low complication rates with improved outcomes more recently. Yet

long-term recurrence seems to be the major issue. Open coracoid transfer (Latarjet) is another gold standard for the treatment of shoulder instability, especially when facing osseous defects. The technique promises low recurrence rates and the possibility of restoring the articular surface, reinforced with a sling effect. However, the procedure doesn't recreate the patient's correct anatomy, is characterized by hard surgical access and more difficult to revise if necessary. Reported complication rate may worry (Lubiowski *et al.* 2016). Although arthroscopic coracoid transfer has been introduced providing the same advantages as open technique, its clear advantage as less traumatic has not been confirmed strongly yet. This demanding technique needs a long learning curve, a long time in the operating theater and bears possible surgical risks. The bone block is another solution, allowing for anatomic bone reconstruction with or without labral repair. It has been reported as open and arthroscopic. Yet it has not sling effects, concerns with graft resorption and there is much less scientific data available.

## Aim

Literature on the subject is robust and increasing although strong data is much less reported. We believe that with current knowledge we have now the ability to reflect on the clinical value of operative treatment of anterior shoulder surgery. So, the aim of this paper is to analyze the proof of the value of Glenohumeral Instability Techniques. We have taken into consideration the most commonly used and reported surgical

procedures including open Bankart repair (OB), arthroscopic Bankart repair (@B), @B with remplissage, open coracoid transfer (OCT), arthroscopic coracoid transfer (@CT) and bone block glenoid reconstruction (BB).

### Material and methods

This review was intended to concentrate on possibly the strongest scientific data available, including systematic reviews and meta-analyses (SR, MA), long term studies, large in numbers of studies (> 100 study participants), randomized control trials (RCTs), comparative studies.

We have searched for studies on primary surgical treatment of recurrent anterior traumatic shoulder instability. Studies reporting revision cases and treatment of first-time shoulder dislocation were excluded from the review. Most common surgical techniques were analyzed, including open Bankart repair (OB), arthroscopic Bankart repair (@B), @B with remplissage, open coracoid transfer (OCT), arthroscopic coracoid transfer (@CT) and bone block glenoid reconstruction (BB).

Several parameters have been used to represent clinical procedures, including recurrence of instability following surgery, functional outcomes (clinical scores, range of movement, satisfaction), adverse effects (arthropathy, other complications).

87 studies have been included. Only 7 were RCTs. 41 were systematic reviews and meta-analyses. Most of the studies were retrospective. Both BB and @CT have much less data to analyze currently.

### Results

#### Results of open Bankart repair

Nevasier *et al.* has presented a well powered retrospective study. 127 of 162 patients underwent evaluation with an average follow-up of 17 years (5–25). Surgery performed by a single surgeon with application of absorbable anchors proved to be very effective in restoration of instability, achieving remarkably low recurrence rate (RR) 1.6% – 2 patients (1 redislocation, 1 re-subluxation). None of

the patients had positive apprehension. Functional results were also very good with a mean Rowe score of 91. Some significant deficits of external rotation (ER) as compared to contralateral shoulder remained, as defined by, 4° ER at the side and 4° in 90° abduction. Satisfaction rate was 98%. Osteoarthritis (OA) developed in 47% of cases (grade I – 37%, II – 10% , III – 0%) (Nevasier *et al.*, 2017).

Two other long-term studies could not reproduce such excellent results (Pelet *et al.*, 2006; Warner, 2015). They were little less powered but with much longer follow-up time 29 (20–41) and performed anchorless to classic technique. Pelet *et al.* stated RR of 10%. 67% of the evaluated group reported good subjective results and the mean Rowe score reached 80. Much larger deficits of ROM were noted: for ER at the side – 34°, at 90° abduction – 24°. Rate of OA was greater (Pelet *et al.*, 2006). Mooder *et al.* showed RR of 17%. 12% of patients had persistent apprehension. Rowe score, limitations of ROM, incidence of OA were similar to Nevasier's study (Warner, 2015). These two studies had significant groups with severe grade OA. Interestingly none of them assessed bone deficiency.

Salomonsson *et al.* randomized patients into either open Bankart (with anchors) or Putti-Platt procedure and followed at 2 and 10 years. Despite good functional outcomes, high RR was reported in both groups: 57% and 48% respectively. The only significant difference between the groups was a smaller ER deficit (3° vs 10°) in favor of Bankart. Interestingly observations during surgery revealed anterior glenoid defect in 21% and minor glenoid wear in 44% of the whole cohort (Salomonsson, 2009).

Two systematic reviews reported results of open Bankart repair using some form of meta-analysis (Stone *et al.*, 2014; AlSomali *et al.*, 2021). Stone *et al.* collected results of 1343 procedures with minimal follow-up of 8 months, focusing also on return to sport (Stone *et al.*, 2014). More recent review by AlSomali *et al.* pooled results of 566 operated shoulder with average follow-up of 11.5 years (2.5–29).

Similar failure rate was reported accounting for 8.5–9% of re-dislocation or re-subluxation. Dislocation recurred in 5.3% of cases. Rowe score was 87 and ER loss 10°. Patients could have returned to sport with the same level in 72–81%, at average 23 weeks following surgery. OA affected 33% of shoulders, mostly grad I – II – 91%, III – 9% (AlSomali et al., 2021).

### Results of arthroscopic Bankart Repair

There have been several reports on long term results on arthroscopic anterior labral repair. In general RRs have been initially reported to be quite high, although ranging from 2% (Hurley et al., 2020) to over 33% (Aboalata et al., 2017). Murphy et al. have pooled results in the systematic review at 10-year follow-up. Based on 9 studies and 822 shoulders. Authors established the RR on 31% (any instability event) and limited to dislocation alone at 16%. As many as 26% patients kept having persistent apprehension. 17% required re-operation due the instability (Murphy et al., 2019). Abolata et al. had more failures with absorbable, older design anchors (re-dislocation : 33% panalok and SureTac device 26.3% vs FASTak anchors 15.1%) (Aboalata et al., 2017). In most cases recurrence occurred early – 50% at 2–3 years after procedure. Subsequently incidence was 22–30% at 2–5 years and less frequent after that time (3–22%). (Ono et al., 2019; Flinkkilä et al., 2018; Vermeulen et al., 2019).

Average Rowe score was 87, patients satisfaction reached 86%. Return to sports was at an average of 78%. OA was a common finding (59%) mostly at mild stage (35% grade I), less commonly more severe (gr. II – 9%, gr. III. – 2%).

In the majority there was no need for arthroplasty (Kavaja et al., 2012). Need for this surgery was associated with the number of dislocations prior to surgery and younger age initial to dislocation and procedure.

Several authors have found age to be a major risk factor (Flinkkilä et al., 2018; Vermeulen et al., 2019; Verweij et al., 2021; Thomazeau et al., 2019). Patients younger than 20 y.o. have 42% risk of recurrence. That dropped to 16% for the age range 20–40 and even

lower to 10% for patients older than 40 years. Two systematic reviews with meta-analysis (min. 2 year FU, pooled 4582 shoulders have focused on multiple risks of recurrence after arthroscopic Bankart repair (Verweij et al., 2021; Leroux et al., 2017). Not participating in competitive sport reduces the risk from 21% to 11%, and even 8% with no glenohumeral bone loss. Significant glenoid defect raised the risk from 14% (absence) to 27%, and when off-track from 20% to 50%. Poor tissue quality is another factor, in case of ALPSA risk of recurrence is nearly two times more frequent.

Significant modification of arthroscopic Bankart repair was filling of Hill-Sachs lesion with infraspinatus and capsule – remplissage. 3 systematic reviews and meta-analysis (2 comparing Bankart repair alone) pooled 146–570 shoulders yielding similar results (Hurley et al., 2020; Lazarides et al., 2019; Camus et al., 2018). Recurrence rate significantly dropped when remplissage was added from 17–30% to 3–6% for any instability event. Rate of re-dislocation dropped from 15% to 2% ( $p = 0.001$ ). Revision rates were seldom 0–2% as compared to Bankart repair alone (9–11%,  $p = 0.01$ ). Patients achieved significantly better functional recovery with remplissage (Row score of 93 vs 84,  $p < 0.05$ ). There was no difference in return to sports but for flexion and external rotation it was. Two long term studies confirmed durability or results (Bastard et al. 2019; Brilakis et al., 2019). Bastard et al at 10 years FU found no recurrence nor persistent apprehension in the remplissage group (Bastard et al., 2019).

### Results of coracoid transfer

Long term results are currently available only for open coracoid transfer, since arthroscopic approach is a relatively new technique. Mizuno et al. has published long term results of patients operated by single surgeon (G. Walch). 68 patients were reviewed retrospectively for at least 18 years (at average 20 years). Rate of re-dislocation was reported as 2.9%, rate of recurrent subluxation was also 2.9% (Mizuno et al., 2014). Results of this study



coming from master surgeon could not have been clearly replicated by a wider population of surgeons. Two systematic reviews and meta-analysis (Hurley *et al.* 845 surgeries, > 10y. FU, – Gilat *et al.* – 1052 surgeries, 5 and 10y. FU) presented risk of recurrence instability at 9–12%, dislocation recurrence in 3.2–4% and subluxation at 6.7–9%. 6–10% would experience persistent or recurrent apprehension (Hurley *et al.*, 2019, Gilat *et al.*, 2020). Rate of satisfaction and Row scores achieved similar levels in both Mizuno's study and both systematic reviews (95% vs 95%, 90 vs 81–89 respectively). Return to sport at preoperative level seemed to be more common in French study (93%) then calculated in reviews (76%). All studies reported patients complaining about residual pain on different occasions, 36% in general. Secondary arthritis was nearly equally common in Mizuno's study as well as in both reviews (30–38%), although mostly mild. So was the rate of revision (4% in both reviews). Gilat *et al.* compared mid-term and long-term results and found no significant difference (Gilat *et al.*, 2020).

### Results of free bone block

Free bone graft reconstruction of anterior glenoid rim has been used for both primary and revision cases of anterior shoulder instability. Reports on that however are less frequent and less powered as compared to previously analyzed techniques. It has raised early concerns with risk of osteoarthritis following the procedure. Two separate studies by Wildner and Rachbauer have reported OA respectively in 79% and 88% of patients at average of 15 years follow-up with severe changes were observed in 6 and 13% (Wildner *et al.*, 1994; Rachbauer *et al.*, 2000). Later study by Rahme showed less worrying results with OA in just 47% of cases, with severe in 24% at the mean of 29 years following surgery. Redislocation rate was as high as 20% of cases (Rahme *et al.*, 2003). Yet those studies were assessing classic Eden-Hybinette technique in which bone graft was placed under labral and periosteal pouch with no fixation into glenoid.

More anatomical approach was reported by Stefen and Hertel, in which graft is placed extra-articularly, anatomically contoured, fixed with screws and covered with labrum. The authors proved effectiveness by only 2% re-dislocation rate and 7% persistent apprehension at average 9 years follow-up (5–19). 53% had OA, nearly all mild and just 1 moderate. 18% of patients reported mostly slight pain, loss of ER was stated as 4° (Stefen *et al.*, 2013). Arthroscopic approach with autograft has also been reported with over 5 year follow-up, yet in a very small group of 14 patients (Boehm *et al.* 2020). It has reported 7.5% redislocation rate and 14% persistent apprehension. Rowe score was 89. External rotation deficit was 14°, 7% had temporary loss of sensation at the graft donor site. Mild OA affected 57% of cases.

### Discussion

#### Complications in operative treatment of shoulder instability

Safety of surgical treatment is another measure of clinical value. William *et al.* had reviewed literature in regard to specific surgical techniques for shoulder surgery based on pooled data of 4362 operations cases (William *et al.*, 2019). Full picture is slightly blurred since bone procedures have grouped both coracoid transfer and bone block. They have been looked at separately for open and arthroscopic approach. When excluding recurrence of instability, arthroscopic soft tissue stabilization and one accompanied with remplissage resulted in lowest rates of complications (1.6% and 1.4% respectively). Although rare, most common was stiffness (0.7%) and temporary nerve injury (0.2–0.5%). Highest rates of complication affected patients after arthroscopic bone stabilization (13.6%). Hardware problems occur in 4.5%, graft osteolysis in 4.5%, hematoma in 1.5%, non-union in 1.2% and temporary nerve injury in 0.9%. Open bone procedures bared 5.3% risk of complications, including non-union – 1.4%, fracture, – 0.9% hardware related 0.8%, temporary nerve injury in 0.8%. Open soft

tissue repair had overall 4.4% rate of complications, most commonly persistent pain – 1.4% and infection 1.4%. Thus, anything related to bony procedure bares the risk of specific graft and hardware related complications that do not occur in soft tissue procedures. Especially coracoid transfer has been associated with issues of safety, especially when approached arthroscopically. Two systematic reviews one earlier OCR- n = 1712, @CT- n = 177 (Grieser *et al.*, 2013) and one more recent OCT- n = 5035, @CT- n = 2140 (Hurley *et al.*, 2021) pooled the short term result of both approaches to coracoid transfer in regards to complications. In general, no significant differences could be found for the risk of complications between the techniques, including reports of comparative studies. General risk of complications in later study 6.8% both for open and arthroscopic surgery and this is significantly lower than previously reported. Complications related to coracoid itself accounted for 1.9% in open group as compared to 3.2% of arthroscopic. In the latter fractures occur in 1.3% (0.2% in open). Rates of non-union were similar (1.1% in @CT, 1.6% in OCT). Symptomatic hardware problems occurred in 1.9% of arthroscopic and 1.1% in open approach. Surprisingly, the arthroscopic approach was not more risky in relation to nerve injury (0.7% vs 0.9%) and less likely to be associated with hematoma formation (0.2% vs 0.9%).

### Conclusions

Arthroscopic Bankart and Coracoid Transfer are the most common procedures. Results of Bankart technique differ depending on the surgeon's experience (type of anchors used, qualification, long learning curve). Performing Arthroscopic Bankart may be effective when there is minimal bone loss (< 10–15%), good soft tissue (no ALPSA) and ISIS < 3. With these assumptions long term recurrence rate (RR) can be stated as 8%. Adding of remplissage improves RR to 3–6%, which is comparable to Latarjet with RR 4.4–6%. Coracoid transfer has advantage of being quicker, safer and cheaper. It must be underlined that this type

of surgery is effective for patients with risk factors (probably regardless risk). Moreover we assume that good function and satisfaction level with high return to sport (85%) is associated with this intervention. Still some issues remain like frequent complications (mostly temporary) like apprehension or residual pain. Learning curve is also not in favor of this technique. Yet it is difficult to conclude whether Arthroscopic Bankart or Coracoid Transfer are superior. Free bone block has comparable results to Latarjet, with the main problem being donor side complication in autografts. Open Bankart also do not stand out of Coracoid Transfer.

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